

Bank Vontobel Europe AG Frankfurt Branch / SST and DI / Mai 2023

Information regarding systematic internalisation

Bank Vontobel Europe AG ("Vontobel") opted-in to act as a Systematic Internaliser for specific non-equity instruments from 3rd January 2018 on:

- Vontobel is a Systematic Internaliser for all securitized derivatives issued by Vontobel Financial Products GmbH, Frankfurt/Main, which are traded on a trading venue within the European Economic Area;
- the relevant instruments can be found on <https://zertifikate.vontobel.com> ("Website");
- Vontobel SI MIC code: VONT;
- Vontobel will continuously stream and publish quotes for the relevant instruments during general trading hours on the Website;
- general trading hours: 08:00 CET - 22:00 CET. Specific participation / tracker certificates may be traded from 08:00 CET - 20:00 CET only;
- Vontobel trades on a request for quote basis only. Therefore, each quote is in general limited to execution to one client only. Vontobel may respond to later requests of different clients with a different quote if execution at the same quote would contravene our internal risk management procedures;
- Vontobel may update its quotes at any time and withdraw its quotes under exceptional market conditions and/or technical issues;
- where Vontobel deals in sizes above the standard market size specific to such instruments, Vontobel will not be subject to pre-trade transparency requirements;
- Vontobel may execute transactions above standard market size or that are subject to conditions other than the current market price at prices different from its published quotes.

Company locations and address

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Bank Vontobel Europe AG is subject to supervision by the German Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht – BaFin).

"Vontobel Europe" and "Bank Vontobel Europe AG, Frankfurt Branch" are the names of Bank Vontobel Europe AG.