

FFI Owner Reporting Statement for owner-documented FFIs

Account/Custody Account

Name of the Entity

FFI owner reporting statement for owner-documented FFIs

(According to US Treasury Regulations §1.1471-3(d)(6))

This FFI owner reporting statement becomes an integral part of the account holder documentation.

1. Documentation requirements for owner-documented FFIs

The account holder must provide the following documents to Bank Vontobel AG (hereinafter "the Bank") (in addition to this statement):

- IRS Form W-8BEN-E, W-8IMY or a Form 451 "US Tax Status Declaration - Entities" for the account holder, establishing its eligibility for owner-documented FFI treatment; and
- IRS Forms W-8BEN (or admitted documentary evidence) for each owner and debt holder of the account holder that is not a specified US person (see section 3).
- IRS Form W-9 for each owner and debt holder of the account holder that is a specified US person (see section 3) and a Form 459 "Waiver / Authorization to disclose client and account data to the Internal Revenue Service" for the account holder.

2. Designated withholding agent agreement

The Bank agrees to act as a designated withholding agent and to treat the account holder as an owner-documented FFI (as described under US Treasury Regulations §1.1471-5(f)(3)) provided that all the requirements for such treatment are satisfied.

its obligations as designated withholding agent (which includes the documents mentioned in section 1).

Further, the account holder confirms that it will inform the Bank of any changes to this statement and any other piece of documentation provided to the Bank to meet its obligations as designated withholding agent within 30 days. This includes any modification to the owner and debt holder structure (e.g. new entry, succession or retirement) as well as any change regarding the owner and debt holder information in section 1 (e.g. change of chapter 4 (FATCA) status or residence). The account holder agrees to provide to the Bank or update the documentation, as required, following such change in circumstances.

3. Ownerⁱⁱ and debt holderⁱⁱⁱ information

The account holder confirms to the Bank the following:

There are no owners or debt holders relevant for purposes of this FFI owner reporting statement other than the persons listed on Form A, Form K, Form S or Form T (as applicable) that has been collected according to the Agreement on the Swiss banks' code of conduct with regard to the exercise of due diligence.

There are owners or debt holders relevant for purposes of this FFI owner reporting statement that are not listed on Form A, Form K, Form S or Form T (as applicable) that has been collected according to the Agreement on the Swiss banks' code of conduct with regard to the exercise of due diligence. All these owners and debt holders are listed separately in the Annex.

The account holder confirms that the aforesaid statements as well as the information in the Annex are to its best knowledge correct and exhaustive.

Place/Date

Signature

