

Press release / 16 May 2017



## **Vontobel is connecting its Multi Issuer Platform deritrade® MIP with the risk management software ImpaQt® operated by swissQuant Group**

**For the first time, this will allow fully integrated checks of the risk profiles of structured investment products to be carried out in a portfolio context by investment advisors prior to issuance and in compliance with MiFID II.**

Today, a major proportion of structured investment products are issued via digital platforms in primary markets – deritrade® MIP is one example. The link between deritrade® MIP and ImpaQt® means that investment advisors will, for the first time, be able to carry out pre-trade checks of the risk profiles of the product concepts they develop – and to do so in the context of the individual client portfolio. This will ensure full compliance with all regulatory requirements prescribed by MiFID II.

deritrade® MIP – the leading digital issuing platform for structured products in Switzerland – is used by more than 50 banks and 450 asset managers. ImpaQt® is the leading risk analysis and advisory software from swissQuant Group and is successfully established in the Swiss banking landscape. The collaboration between these respective market leaders has given rise to a unique solution that sets new standards in the investment advisory process for structured investment products.

“We want to provide deritrade® MIP users with new functionalities that will further enhance the quality of our advisory offering for our clients. We can achieve this through the use of state-of-the-art and globally leading digital technology,” stated Roger Studer, Head of Vontobel Investment Banking. Lorenz Schumann, CEO of swissQuant Group, commented: “The analysis carried out in ImpaQt® can now also be applied to structured products by deritrade® MIP users before those products are issued. This means that in ImpaQt®, decisive added value is being created for deritrade® MIP users during the investment advisory process.”

Beginning of February 2017, the Swiss Structured Products Association (SSPA) and swissQuant Group jointly launched a tailor-made app, which provides relationship managers and investors with a simple and comprehensible tool to illustrate the added value of structured products in the portfolio. The app set an industry standard in this area. The combined solution from Vontobel and swissQuant carries out an integrated risk analysis in the actual client portfolio – within the banking system – even before the structured investment product is issued.



**About deritrade® MIP**

With deritrade®, Vontobel allows professional financial service providers to independently develop tailored investment solutions in real time for their clients. They can even use deritrade® interactively during consultations. The platform makes it easy to tap into the advantages of such solutions, while allowing new structured products to be tailored and issued in short order. This means it is possible to react flexibly to client needs and interesting trends and also to efficiently harness opportunities that arise in the market. The expansion into a Multi Issuer Platform means products of several renowned issuers are now available directly from a single source. Thanks to direct comparisons, investors enjoy unprecedented price transparency and higher yields.

**About ImpaQt®**

ImpaQt® is an on-demand system from swissQuant for quantitative risk management and investment suitability checks that provides risk analysis and also examines the suitability of individual instruments in a portfolio context. ImpaQt® does so using the SIX Financial Information data pool, which consists of first-class market data time series as well as reference data and information on millions of financial instruments. The high-speed system is based on proven calculation methodology. The link to a structured products issuer platform ('DerivaRisQ') described here can be integrated into ImpaQt® and other portfolio advisory systems. DerivaRisQ empowers client advisors and portfolio managers to visualize market scenarios interactively and to satisfy client demand for objective analysis.

**Vontobel**

Vontobel's mission is to protect and build the wealth our clients have entrusted to us over the long term. Specializing in active asset management and tailor-made investment solutions, we provide responsible and forward-looking advice. In doing so, we are committed to Swiss quality and performance standards. With their good name, our owner families have stood by these principles for generations. As of 31 December 2016, Vontobel held over CHF 195 billion of client assets. Around 1,700 employees worldwide provide first-rate, customized services for clients with an international focus. The registered shares of Vontobel Holding AG are listed on the SIX Swiss Exchange. The Vontobel families and the Vontobel Foundation hold the majority of shares and votes in the company. [www.vontobel.com](http://www.vontobel.com)

**swissQuant Group**

swissQuant Group develops and implements intelligent technologies. More than 150 clients across the globe, including several Fortune 500 companies, benefit on a daily basis from the use of its software systems and analysis tools. More than 80 committed quant engineers, mathematicians and physicists are at the forefront of developing and translating powerful and scalable mathematical methods into a comprehensive range of intelligent technologies.

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