

Strategic Certificate Checklist

- Checklist for use by Institutional Clients only -

Key features regarding Strategic Certificate (AMC) offering and Checklist for receiving pricing and further offering information

Strategic Certificates offer a **lean, easy, and cost-effective** way of implementing discretionary index strategies and **streamlining portfolios in a scalable way**.

Vontobel Strategic Certificate key features

- Available Asset Classes:
 - Equities
 - ETFs
 - Bonds
 - Derivatives
 - Funds
 - Precious Metals
 - Structured Products (listed)
including 3rd party issuers
- Intraday Market Making and Exchange Listing, e.g. Frankfurt, Stuttgart (Euwax), NGM, SeDeX, other markets on request
- Competitive fee structure, no initial set-up fee
- Sophisticated e-Service tool for maintenance and rebalancing
- Implementation within 1-2 months possible
- Minimum requirement 3 million EUR
- Products under Section 871m / Out of Scope possible
- Full-service Hours: 8:00 am to 6:00 pm

Checklist

- Index Name
- Start Date
- Index Currency
- Size estimate 3/6/12 months
- Description of index and rebalancing strategy
- Selection of asset classes
 - Equities
 - ETFs
 - Bonds
 - Derivatives
 - Funds
 - Precious Metals
 - Structured Products
 - OthersIf Others, please indicate below:
- Please state:
 - the location of your entity.
 - your entity's regulatory status and regulatory authority.
 - your primary custodian banks.
 - in which country(ies) you want to distribute the Strategic Certificate (if applicable).

Thank you for your interest in our Offering.
We look forward to receiving your request
including the above Checklist

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